



Banking Supervision and Basel Framework Application Training Course



AGILE LEADERS
Training Center

Banking Supervision and Basel Framework Application Training Course

Overview

Banking Supervision and Basel Framework Application Training Course is a five-day advanced course for supervisory and bank control leaders who leave with a Supervisory Assessment and Basel Readiness Pack. The course connects risk-based supervision, Basel capital and liquidity architecture, supervisory review, stress testing, disclosure, governance, data quality, and remediation. Participants convert prudential evidence into supervisory judgments and accountable actions. Agile Leaders Training Center delivers this course on banking supervision and Basel Framework application.

Who Should Attend

- Supervisory functions responsible for bank risk profiles, reviews, findings, and corrective actions
- Regulatory liaison functions responsible for submissions, evidence, responses, and supervisory engagement
- Risk and compliance functions responsible for prudential controls, monitoring, and escalation
- Finance and treasury functions responsible for capital, leverage, liquidity, and funding evidence
- Internal audit functions responsible for assurance over governance, data, models, and remediation
- Executive functions responsible for supervisory readiness and Basel implementation decisions

The course assumes participants already work with bank risk, finance, control, or supervisory evidence and leaves out introductory banking, jurisdiction-specific legal advice, and detailed regulatory capital calculations.

Departments and Industries

The course supports prudential supervision and readiness decisions across banking and regulated financial services.

- Retail, commercial, corporate, transaction, and investment banking businesses
- Deposit-taking finance companies and regulated lending institutions
- Risk, compliance, regulatory affairs, and prudential reporting departments
- Finance, treasury, capital management, and liquidity functions
- Internal audit, model governance, data governance, and assurance teams
- Advisory functions supporting supervisory assessment and remediation

Learning Objectives

By the end of this course, participants will be able to:



- Apply the Basel Core Principles to supervisory evidence
- Build a risk-based bank supervisory profile
- Analyze capital, leverage, liquidity, and risk-weighted asset evidence
- Evaluate governance, risk data, stress testing, and disclosure
- Use supervisory review tools to prioritize findings
- Build corrective-action and remediation governance records

Course Agenda

Day 1: Supervisory Mandate and Risk Profile

- Basel Core Principles Applicability Map
- Prudential Supervision Objective and Scope Canvas
- Solo, Consolidated, and Group-Wide Supervision Map
- Risk-Based Supervision Intensity Matrix
- Bank Supervisory Risk Profile

Day 2: Basel Prudential Architecture

- Basel Framework Standards Architecture Map
- Capital Adequacy and Risk-Weighted Asset Bridge
- Leverage Ratio Exposure Diagnostic
- Liquidity Requirement and Funding Position Map
- Proportionality and Materiality Decision Grid

Day 3: Supervisory Review and Challenge

- Supervisory Review Evidence Index
- Governance and Risk Management Challenge Checklist
- Risk Data Quality and Aggregation Diagnostic
- Stress Test Assumption Challenge Record
- Public Disclosure Assessment Matrix

Day 4: Findings, Action, and Engagement

- Supervisory Finding Severity Matrix
- Corrective Action and Escalation Decision Tree
- Remediation Plan Quality Review
- Supervisory Engagement and Evidence Log
- Remediation Progress and Closure Dashboard



Day 5: Banking Supervision Practice

- Suggested Exercise: Supervisory Risk Profile Diagnosis
- Suggested Exercise: Capital and Liquidity Evidence Review
- Suggested Exercise: Governance and Stress Test Challenge
- Suggested Exercise: Finding and Remediation Decision
- Capstone Exercise: Supervisory Assessment and Basel Readiness Pack

Practical Exercises

The course uses suggested activities to turn prudential evidence into traceable supervisory judgments.

- Suggested activity: construct a bank risk profile and choose proportionate supervisory intensity.
- Suggested activity: review capital, leverage, liquidity, risk data, and disclosure evidence for gaps.
- Suggested activity: challenge governance and stress-test assumptions using an evidence record.
- Suggested activity: classify findings and build a remediation, escalation, and closure path.

FAQs

Who suits banking supervision and Basel Framework training, and what does it assume?

Banking supervision and Basel Framework training suits supervisors and bank control leaders who already work with prudential, risk, financial, governance, or assurance evidence.

How does Basel Framework application training differ from general bank risk management training?

Basel Framework application training organizes evidence for prudential supervision, supervisory judgment, findings, and remediation, while general bank risk management training focuses on managing risks inside the bank.

What belongs in a risk-based banking supervisory profile?

A risk-based banking supervisory profile connects business model, material exposures, governance, capital, liquidity, earnings, operational resilience, data quality, control effectiveness, and prior findings to supervisory priorities.

How should a bank prepare evidence for supervisory review?

A bank should prepare reconciled data, documented methodologies, governance decisions, control evidence, stress-test assumptions, disclosures, issue ownership, and remediation status in a traceable evidence index.



How are banking supervisory findings moved toward closure?

Banking supervisory findings move toward closure through severity assessment, accountable ownership, defined actions, milestones, evidence standards, independent challenge, escalation triggers, and documented validation.

Conclusion

Participants leave with a Supervisory Assessment and Basel Readiness Pack containing a risk profile, framework map, prudential diagnostics, challenge records, findings, engagement evidence, and a remediation dashboard. The pack changes fragmented submissions into traceable supervisory evidence. It supports consistent judgment, escalation, action ownership, and closure.



Training Course Categories

Agile PM and Project Management Training Courses

Agribusiness & Food Security Training Courses

Certified Courses By International Bodies

Communication and Public Relations Training Courses

Data Analytics Training and Data Science Courses

Digital Government & Public Service Training Courses

Education and Learning Development Training Courses

Environment & Sustainability Training Courses

Facilities and Real Estate Training Courses

Finance and Accounting Training Courses

Governance, Risk and Compliance Training Courses

Healthcare Management Training Courses

Hospitality and Tourism Training Courses

Human Resources Training and Development Courses

IT Security Training & IT Training Courses

Leadership and Management Training Courses

Legal Training, Procurement and Contracting Courses

Maintenance Training and Engineering Training Courses

Marketing, Customer Relations, and Sales Courses

Occupational Health, Safety and Security Training Courses

Oil & Gas Training and Other Technical Courses

Personal & Self-Development Training Courses

Quality and Operations Management Training Courses

Secretarial and Administration Training Courses

Shipping, Maritime and Ports Training Courses



Training Cities

Abu Dhabi UAE	Accra Ghana	Amman Jordan
Amsterdam Netherlands	Athens Greece	Baku Azerbaijan
Bali Indonesia	Bangkok Thailand	Barcelona Spain
Berlin Germany	Cairo Egypt	Cape town South Africa
Casablanca Morocco	Chicago USA	Doha Qatar
Dubai UAE	Frankfurt Germany	Geneva Switzerland
Istanbul Turkey	Jakarta Indonesia	Johannesburg South Africa
Kuala Lumpur Malaysia	Kuwait Kuwait	Langkawi Malaysia
Lisbon Portugal	London UK	Madrid Spain
Manama Bahrain	Marbella Spain	Milan Italy
Montreux Switzerland	Munich Germany	Muscat Oman
Nairobi Kenya	New York USA	Nice France

Training Cities

Paris

France

Phuket

Thailand

Port Louis

Mauritius

Porto

Portugal

Prague

Czech Republic

Rome

Italy

San Diego

USA

Seoul

South Korea

Sharm El-Sheikh

Egypt

Singapore

Singapore

Tashkent

Uzbekistan

Tbilisi

Georgia

Tokyo

Japan

Toronto

Canada

Trabzon

Turkey

Vienna

Austria

Zanzibar

Tanzania

Zoom

Online Training

Zurich

Switzerland