



ISM Code Lead Auditor and DPA Practice Course

ISM Code Lead Auditor and DPA Practice Course

Overview

The ISM Code Lead Auditor and DPA Practice Course is a five-day advanced course for designated persons, ship managers, superintendents, SMS auditors, compliance functions, and senior officers who leave with an ISM Safety Management Assurance Plan. The course integrates ISM governance, DPA oversight, ship-shore controls, audit evidence, corrective action, emergency interfaces, and management review. Agile Leaders Training Center delivers this course on ISM Code audit leadership and DPA practice.

Who Should Attend

- Shore management functions responsible for safety management system governance and resources
- Designated person functions responsible for ship-shore communication, monitoring, and escalation
- Marine assurance functions responsible for audit planning, evidence, findings, and follow-up
- Fleet technical and operational functions responsible for procedures, maintenance, and risk controls
- Shipboard leadership functions responsible for implementation, reporting, drills, and review

The course assumes participants contribute to maritime safety management or assurance and leaves out flag authorization, classification decisions, and certification approval.

Departments and Industries

The course supports ISM assurance decisions across vessel operations and shore management.

- Marine operations and fleet management departments
- Safety, quality, risk, and compliance functions
- Technical management and vessel maintenance teams
- Shipping, offshore support, and passenger vessel operations
- Port services and maritime logistics organizations

Learning Objectives

By the end of this course, participants will be able to:

- Analyze ISM Code responsibilities across ship and shore
- Evaluate SMS operational, risk, and emergency controls
- Build a risk-based management system audit plan
- Apply evidence, interview, sampling, and finding methods
- Diagnose nonconformities and evaluate corrective actions
- Create an ISM Safety Management Assurance Plan



Course Agenda

Day 1: ISM Governance and DPA Oversight

- ISM Code Purpose Objective and Requirement Map
- Company Master and DPA Responsibility Matrix
- Safety Management System Document Hierarchy
- Ship-Shore Communication and Escalation Protocol
- ISM Risk and Control Register

Day 2: Operational Safety Management Controls

- Critical Shipboard Operation Procedure Map
- Vessel Maintenance Defect and Reporting Workflow
- Emergency Preparedness Drill and Response Matrix
- Incident Hazard and Nonconformity Reporting Flow
- Operational Control Effectiveness Review Checklist

Day 3: Lead Audit Planning and Evidence

- ISO 19011:2026 Audit Principle Application Guide
- Risk-Based SMS Audit Program and Scope Plan
- Audit Criteria Sampling and Trail Selection Matrix
- Interview Observation and Document Evidence Triangulation
- Audit Finding and Evidence Traceability Record

Day 4: Corrective Action and Management Review

- Nonconformity Classification and Root Cause Method
- Corrective Action Ownership and Effectiveness Test
- DPA Trend Monitoring and Escalation Dashboard
- Safety Management Review Input and Decision Pack
- Ship-Shore Assurance Reporting and Follow-Up Protocol

Day 5: ISM Assurance Practice

- Suggested Exercise: ISM Responsibility and Control Mapping
- Suggested Exercise: SMS Audit Plan and Evidence Challenge
- Suggested Exercise: Interview Finding and Nonconformity Simulation
- Suggested Exercise: Corrective Action and Management Review Test
- Capstone Exercise: ISM Safety Management Assurance Plan



Practical Exercises

The course includes suggested activities for applying ISM audit and DPA decisions across ship and shore operations.

- Suggested activity: map company, master, and DPA responsibilities to controls, records, and escalation routes.
- Suggested activity: build an SMS audit trail and test evidence from operational and emergency scenarios.
- Suggested activity: draft a nonconformity, analyze its cause, and evaluate a corrective action.
- Suggested activity: present an ISM Safety Management Assurance Plan with review and follow-up decisions.

FAQs

Who suits the ISM Code Lead Auditor and DPA Practice Course?

Designated persons, marine managers, superintendents, SMS auditors, compliance functions, and senior officers suit the course; it assumes involvement in safety management implementation or assurance.

How does ISM lead auditor practice differ from general maritime safety training?

ISM lead auditor practice evaluates a documented management system through criteria, evidence, findings, corrective action, and follow-up rather than focusing only on individual safety procedures.

What evidence supports an ISM safety management system audit?

ISM audit evidence can include controlled procedures, operational records, maintenance and defect reports, drill records, incident reports, interviews, observations, previous findings, corrective actions, and management review decisions.

How does a DPA support ISM assurance?

A DPA supports ISM assurance by monitoring safety and pollution-prevention activities, maintaining ship-shore communication, identifying resource and support needs, escalating concerns, reviewing trends, and following corrective actions.

What belongs in an ISM Safety Management Assurance Plan?

An ISM Safety Management Assurance Plan links responsibilities, risks, operational controls, audit scope, evidence trails, findings, corrective actions, DPA monitoring, escalation, management review, and follow-up.



Conclusion

Participants leave with an ISM Safety Management Assurance Plan connecting ship-shore responsibilities, operational controls, audit evidence, corrective action, and management review. The work product turns assurance expectations into defined activities, owners, records, and escalation points. It supports traceable oversight and consistent improvement decisions across the safety management system.



Training Course Categories

Agile PM and Project Management Training Courses

Agribusiness & Food Security Training Courses

Certified Courses By International Bodies

Communication and Public Relations Training Courses

Data Analytics Training and Data Science Courses

Education and Learning Development Training Courses

Environment & Sustainability Training Courses

Facilities and Real Estate Training Courses

Finance and Accounting Training Courses

Governance, Risk and Compliance Training Courses

Healthcare Management Training Courses

Hospitality and Tourism Training Courses

Human Resources Training and Development Courses

IT Security Training & IT Training Courses

Leadership and Management Training Courses

Legal Training, Procurement and Contracting Courses

Maintenance Training and Engineering Training Courses

Marketing, Customer Relations, and Sales Courses

Occupational Health, Safety and Security Training Courses

Oil & Gas Training and Other Technical Courses

Personal & Self-Development Training Courses

Quality and Operations Management Training Courses

Quality and Operations Management Training Courses

Secretarial and Administration Training Courses

Shipping, Maritime and Ports Training Courses



Training Cities

Abu Dhabi UAE	Accra Ghana	Al Jubail Saudi Arabia
Amman Jordan	Amsterdam Netherlands	Athens Greece
Baku Azerbaijan	Bali Indonesia	Bangkok Thailand
Barcelona Spain	Berlin Germany	Cairo Egypt
Cape town South Africa	Casablanca Morocco	Chicago USA
Doha Qatar	Dubai UAE	Frankfurt Germany
Geneva Switzerland	Istanbul Turkey	Jakarta Indonesia
Johannesburg South Africa	Kuala Lumpur Malaysia	Kuwait Kuwait
Langkawi Malaysia	Lisbon Portugal	London UK
Madrid Spain	Manama Bahrain	Marbella Spain
Milan Italy	Montreux Switzerland	Munich Germany
Muscat Oman	Nairobi Kenya	New York USA

Training Cities

Nice

France

Paris

France

Phuket

Thailand

Port Louis

Mauritius

Porto

Portugal

Prague

Czech Republic

Riyadh

Saudi Arabia

Rome

Italy

San Diego

USA

Seoul

South Korea

Sharm El-Sheikh

Egypt

Singapore

Singapore

Tashkent

Uzbekistan

Tbilisi

Georgia

Tokyo

Japan

Toronto

Canada

Trabzon

Turkey

Vienna

Austria

Zanzibar

Tanzania

Zoom

Online Training

Zurich

Switzerland