



ISO 37301 Lead Auditor Training Course: Mastering Compliance Management Audits

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Course Overview:

This course provides training on the ISO 37301 standard and equips participants with the skills required for the role of lead auditor. It reviews the details of the Compliance Management System CMS and helps participants understand its strategic importance in modern organizations. This course also explores concepts such as corporate governance, risk management systems RMS, and anti-corruption.

Target Audience:

- Compliance Officers and Managers
- Internal Auditors
- Corporate Governance Officers
- Risk Management Professionals
- Strategic Compliance Management Teams

Target Departments:

- Compliance Committees
- Internal Audit
- Risk Management
- Senior Management
- Boards of Directors

Target Sectors:

- Finance and Banking
- Healthcare
- Information Technology
- Manufacturing
- Government Agencies and Similar

Course Objectives:

By the end of this course, participants will be able to:



- Get a comprehensive understanding of ISO 37301 and its applications
- Gain knowledge in preparing a Lead Auditor for a Compliance Management System CMS
- Get strategic insights into compliance in organizations
- Get insights into corporate governance, risk management systems RMS, and internal control systems ICS
- Gain experience in applying the PDCA principles of ISO 37301
- Gain proficiency in ISO 37001 anti-bribery standards and practices

Training Methodology:

The course offers a combination of lectures, interactive sessions, and group work. Real-life case studies will provide practical insights into the challenges of a compliance management system CMS. Feedback sessions will allow participants to measure their progress. The course emphasizes the importance of ISO 37301 and its comprehensive approach, including the intricacies of corporate governance and the risk management system RMS. Course Tools:

- Comprehensive Training Guide on ISO 37301
- Checklists and Templates for Compliance Audits
- Reading Materials on ISO 37301 and Related Standards
- Access to Online Resources for Continuing Learning

Course Agenda:

Day 1: Foundations of Compliance and Strategic Management

- **Topic 1:** Introduction to ISO 37301 and its Importance in Today's Organizations
- **Topic 2:** Fundamental Principles and the General Compliance Framework
- **Topic 3:** Uncovering the Legal Framework for Compliance at the Global and National Levels
- **Topic 4:** Compliance as a Strategic Management Tool in Modern Organizations
- **Topic 5:** Distinguishing Governance, ICS, RMS, and CMS
- **Review:** Analyzing the Importance of Compliance in Strategic Decision-Making



Day 2: Standards, Systems, and Continuous Improvement

- **Topic 1:** Compliance Management System CMS Standards: An Overview of ISO 37301
- **Topic 2:** The Essence of ISO's High-Level Structure HLS Compliance
- **Topic 3:** Introduction to the ISO 37001 Anti-Bribery Management System
- **Topic 4:** PDCA Principles in ISO 37301
- **Topic 5:** Change Management for Implementing and Sustaining Compliance
- **Review:** Recognizing the Need for Continuous Improvement in Compliance Management

Day 3: Key Requirements of ISO 37301 and Compliance in Organizations

- **Topic 1:** Delve into the ISO 37301 Requirements for Effective Compliance
- **Topic 2:** Understanding the Organizational Context of Compliance Management
- **Topic 3:** Leadership in Compliance: Roles, Responsibilities, and Authorities
- **Topic 4:** Planning and Strategy for Effective Compliance Management
- **Topic 5:** Operational Planning, Controls, and Procedures in CMS
- **Review:** Reviewing the Importance of Leadership and Planning in Compliance

Day 4: Performance, Reviews, and Approaches for SMEs

- **Topic 1:** Performance Evaluation Methods in ISO 37301
- **Topic 2:** The Role of External Audits in Compliance Management Systems CMS
- **Topic 3:** Certification Processes within the ISO Framework
- **Topic 4:** Compliance Management in Small and Medium-sized Enterprises SMEs
- **Topic 5:** The Impact of Organizational Culture on Compliance Management
- **Review:** Analyzing the Role of Organizational Culture in Effective Compliance

Day 5: Advanced Concepts in Risk Management and Organization

- **Topic 1:** Introduction to Organizational Culture and its Management Tools
- **Topic 2:** Comprehensive Perspectives on Risk Management Systems and ERM
- **Topic 3:** Aligning ISO 31000 Risk Management with ISO 37301 Compliance Management
- **Topic 4:** Edgar Schein and Denison Culture Models in the Context of Compliance
- **Topic 5:** Aligning Risk and Compliance for Organizational Efficiency
- **Review:** Integrating Risk Management and Compliance for Organizational Excellence

How does this course differ from other CMS courses:

Unlike other courses, our course offers a combination of theoretical knowledge and practical skills. We emphasize practical application through case studies and simulations, providing participants with a comprehensive overview of corporate compliance, corporate governance, and the Risk Management System RMS.



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