



Banking Supervision and Basel Framework Application Training Course

10 – 14 May 2027
Madrid

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Ref: 410_133301 **Date:** 10 – 14 May 2027 **Location:** Madrid **Fees:** 5700 Euro

Overview

Banking Supervision and Basel Framework Application Training Course is a five-day advanced course for supervisory and bank control leaders who leave with a Supervisory Assessment and Basel Readiness Pack. The course connects risk-based supervision, Basel capital and liquidity architecture, supervisory review, stress testing, disclosure, governance, data quality, and remediation. Participants convert prudential evidence into supervisory judgments and accountable actions. Agile Leaders Training Center delivers this course on banking supervision and Basel Framework application.

Who Should Attend

- Supervisory functions responsible for bank risk profiles, reviews, findings, and corrective actions
- Regulatory liaison functions responsible for submissions, evidence, responses, and supervisory engagement
- Risk and compliance functions responsible for prudential controls, monitoring, and escalation
- Finance and treasury functions responsible for capital, leverage, liquidity, and funding evidence
- Internal audit functions responsible for assurance over governance, data, models, and remediation
- Executive functions responsible for supervisory readiness and Basel implementation decisions

The course assumes participants already work with bank risk, finance, control, or supervisory evidence and leaves out introductory banking, jurisdiction-specific legal advice, and detailed regulatory capital calculations.

Departments and Industries

The course supports prudential supervision and readiness decisions across banking and regulated financial services.

- Retail, commercial, corporate, transaction, and investment banking businesses
- Deposit-taking finance companies and regulated lending institutions
- Risk, compliance, regulatory affairs, and prudential reporting departments
- Finance, treasury, capital management, and liquidity functions
- Internal audit, model governance, data governance, and assurance teams
- Advisory functions supporting supervisory assessment and remediation

Learning Objectives

By the end of this course, participants will be able to:



- Apply the Basel Core Principles to supervisory evidence
- Build a risk-based bank supervisory profile
- Analyze capital, leverage, liquidity, and risk-weighted asset evidence
- Evaluate governance, risk data, stress testing, and disclosure
- Use supervisory review tools to prioritize findings
- Build corrective-action and remediation governance records

Course Agenda

Day 1: Supervisory Mandate and Risk Profile

- Basel Core Principles Applicability Map
- Prudential Supervision Objective and Scope Canvas
- Solo, Consolidated, and Group-Wide Supervision Map
- Risk-Based Supervision Intensity Matrix
- Bank Supervisory Risk Profile

Day 2: Basel Prudential Architecture

- Basel Framework Standards Architecture Map
- Capital Adequacy and Risk-Weighted Asset Bridge
- Leverage Ratio Exposure Diagnostic
- Liquidity Requirement and Funding Position Map
- Proportionality and Materiality Decision Grid

Day 3: Supervisory Review and Challenge

- Supervisory Review Evidence Index
- Governance and Risk Management Challenge Checklist
- Risk Data Quality and Aggregation Diagnostic
- Stress Test Assumption Challenge Record
- Public Disclosure Assessment Matrix

Day 4: Findings, Action, and Engagement

- Supervisory Finding Severity Matrix
- Corrective Action and Escalation Decision Tree
- Remediation Plan Quality Review
- Supervisory Engagement and Evidence Log
- Remediation Progress and Closure Dashboard



Day 5: Banking Supervision Practice

- Suggested Exercise: Supervisory Risk Profile Diagnosis
- Suggested Exercise: Capital and Liquidity Evidence Review
- Suggested Exercise: Governance and Stress Test Challenge
- Suggested Exercise: Finding and Remediation Decision
- Capstone Exercise: Supervisory Assessment and Basel Readiness Pack

Practical Exercises

The course uses suggested activities to turn prudential evidence into traceable supervisory judgments.

- Suggested activity: construct a bank risk profile and choose proportionate supervisory intensity.
- Suggested activity: review capital, leverage, liquidity, risk data, and disclosure evidence for gaps.
- Suggested activity: challenge governance and stress-test assumptions using an evidence record.
- Suggested activity: classify findings and build a remediation, escalation, and closure path.

FAQs

Who suits banking supervision and Basel Framework training, and what does it assume?

Banking supervision and Basel Framework training suits supervisors and bank control leaders who already work with prudential, risk, financial, governance, or assurance evidence.

How does Basel Framework application training differ from general bank risk management training?

Basel Framework application training organizes evidence for prudential supervision, supervisory judgment, findings, and remediation, while general bank risk management training focuses on managing risks inside the bank.

What belongs in a risk-based banking supervisory profile?

A risk-based banking supervisory profile connects business model, material exposures, governance, capital, liquidity, earnings, operational resilience, data quality, control effectiveness, and prior findings to supervisory priorities.

How should a bank prepare evidence for supervisory review?

A bank should prepare reconciled data, documented methodologies, governance decisions, control evidence, stress-test assumptions, disclosures, issue ownership, and remediation status in a traceable evidence index.



How are banking supervisory findings moved toward closure?

Banking supervisory findings move toward closure through severity assessment, accountable ownership, defined actions, milestones, evidence standards, independent challenge, escalation triggers, and documented validation.

Conclusion

Participants leave with a Supervisory Assessment and Basel Readiness Pack containing a risk profile, framework map, prudential diagnostics, challenge records, findings, engagement evidence, and a remediation dashboard. The pack changes fragmented submissions into traceable supervisory evidence. It supports consistent judgment, escalation, action ownership, and closure.