



Bank Recovery and Resolution Planning Strategy Training Course

8 – 19 August 2027
Cape town

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Ref: 465_137356 **Date:** 8 – 19 August 2027 **Location:** Cape town **Fees:** 6400 Euro

Overview

Bank Recovery and Resolution Planning Strategy Training Course is a ten-day advanced course for banking risk, treasury, legal, operations, audit, and crisis-readiness teams who leave with an Integrated Bank Recovery and Resolution Playbook. Participants connect bank recovery planning, critical functions, triggers, recovery options, resolvability, operational continuity, valuation, resolution tools, data, communication, and dry runs. The course turns separate plans into an executable decision system. Agile Leaders Training Center addresses bank recovery and resolution planning through scenario evidence, accountable governance, and tested actions.

Who Should Attend

- Teams responsible for recovery planning, resolvability assessment, and regulatory submissions
- Risk functions responsible for early-warning indicators, stress scenarios, and escalation
- Treasury and finance functions responsible for capital, liquidity, funding, and valuation readiness
- Legal and compliance functions responsible for entity analysis, powers, safeguards, and documentation
- Operations and technology functions responsible for critical services, data, and continuity
- Audit and senior governance functions responsible for challenge, assurance, and crisis decisions

The course assumes participants already manage banking risk, finance, governance, operations, legal, or assurance activities and leaves out jurisdiction-specific legal advice and certification preparation.

Departments and Industries

The course supports departments and industries responsible for financial stability, regulated services, and institutional crisis readiness.

- Retail, commercial, wholesale, and investment banking functions
- Treasury, finance, capital management, and asset-liability teams
- Risk, compliance, legal, governance, and internal-audit departments
- Payment, market-infrastructure, custody, and financial-technology organizations
- Operations, technology, data, outsourcing, and business-continuity functions
- Professional-services and advisory teams supporting financial institutions

Learning Objectives

By the end of this course, participants will be able to:



- Analyze critical functions, legal entities, services, and dependencies
- Build recovery indicators, triggers, escalation, and governance arrangements
- Evaluate capital, liquidity, asset, liability, and strategic recovery options
- Compare resolution strategies, bail-in, transfer, and continuity requirements
- Apply valuation, separability, data, communication, and testing methods
- Prioritize dry-run findings and build an integrated execution playbook

Course Agenda

Day 1: Recovery and Resolution Architecture

- Recovery-versus-Resolution Decision Map
- Financial-Stability and Viability Objective Matrix
- Governance Roles and Accountability Charter
- Plan Scope and Materiality Assessment
- Authority Interaction and Submission Calendar

Day 2: Critical Functions and Entity Mapping

- Critical-Function Identification Framework
- Legal-Entity and Booking-Model Map
- Core-Service and Operational-Dependency Inventory
- Financial Market Infrastructure Access Map
- Intragroup Exposure and Service Agreement Register

Day 3: Indicators, Triggers and Escalation

- Early-Warning Indicator Taxonomy
- Recovery-Indicator Calibration Method
- Threshold Breach and Escalation Protocol
- Management Action and Decision-Rights Matrix
- Board Information and Challenge Pack

Day 4: Stress Scenarios and Recovery Capacity

- Idiosyncratic and Market-Wide Scenario Library
- Reverse-Stress and Point-of-Non-Viability Analysis
- Scenario Impact Transmission Map
- Recovery Capacity Measurement Framework
- Option Feasibility and Credibility Scorecard



Day 5: Capital and Balance-Sheet Recovery Options

- Capital Conservation and Restoration Option Sheet
- Asset Disposal and Business Divestment Assessment
- Liability Restructuring and Deleveraging Analysis
- Risk-Weighted Asset Reduction Decision Model
- Recovery Option Sequencing and Interaction Matrix

Day 6: Liquidity, Funding and Crisis Communication

- Liquidity Survival and Funding Gap Model
- Collateral Mobilization and Encumbrance Register
- Contingent Funding and Market Access Assessment
- Stakeholder Communication and Disclosure Map
- Recovery Execution Timeline and Owner Tracker

Day 7: Resolution Strategy and Tools

- Preferred Resolution Strategy Assessment
- Single-Point and Multiple-Point Entry Comparison
- Bail-In Scope and Loss-Allocation Waterfall
- Business Transfer and Bridge-Entity Decision Tree
- Resolution Funding and Liquidity Needs Estimate

Day 8: Valuation, Separability and Continuity

- Resolution Valuation Readiness Checklist
- Business-Line Separability Assessment
- Operational Continuity Capability Map
- Shared-Service Continuity and Access Protocol
- Contract, License, and Data Portability Register

Day 9: Data, Testing and Remediation

- Resolution Management Information Dataset
- Data Lineage and Production-Time Test
- Dry-Run Scenario and Participant Script
- Capability Gap and Remediation Backlog
- Assurance Evidence and Plan Maintenance Cycle



Day 10: Recovery and Resolution Practice

- Suggested Exercise: Diagnose an Indicator Breach
- Suggested Exercise: Sequence Recovery Options
- Suggested Exercise: Select a Resolution Strategy
- Suggested Exercise: Run a Crisis Decision Tabletop
- Capstone Exercise: Integrated Bank Recovery and Resolution Playbook

Practical Exercises

Suggested activities connect scenario evidence, financial options, continuity capabilities, authority needs, and crisis decisions.

- Suggested activity: map critical functions, legal entities, services, dependencies, infrastructure access, data owners, and decision authorities for a banking group.
- Suggested activity: calibrate indicators, apply a severe scenario, estimate recovery capacity, challenge option feasibility, and sequence capital and liquidity actions.
- Suggested activity: compare bail-in and transfer paths, assess valuation and separability readiness, and identify operational-continuity impediments.
- Suggested activity: conduct a dry run, capture evidence and decisions, prioritize remediation, and integrate outputs into the capstone playbook.

FAQs

What is bank recovery and resolution planning?

Bank recovery planning prepares management to restore viability during severe stress, while resolution planning prepares authorities and institutions to preserve critical functions if recovery fails. The disciplines connect but have different decision makers, conditions, tools, and objectives.

Who suits the bank recovery and resolution planning course, and what does it assume?

The course suits experienced banking risk, treasury, finance, legal, operations, technology, audit, and governance professionals. It assumes working familiarity with financial institutions and crisis controls, and it does not provide jurisdiction-specific legal advice.

How does bank recovery and resolution planning differ from operational resilience training?

Bank recovery and resolution planning addresses financial viability, resolution strategies, loss allocation, transfers, and authority readiness. Operational resilience training concentrates on keeping critical operations within disruption tolerances; continuity capabilities support, but do not replace, recovery and resolution plans.



What makes a bank recovery option credible?

A credible bank recovery option has a defined owner, trigger, implementation steps, realistic timing, measurable capital or liquidity effect, operational prerequisites, legal feasibility, stakeholder consequences, and evidence that it remains usable under the relevant stress scenario.

How should a bank recovery and resolution plan be tested?

Testing should use severe scenarios, data-production trials, decision exercises, option sequencing, communication rehearsals, continuity checks, valuation preparation, and documented remediation. Dry runs should expose execution gaps rather than merely confirm that documents exist.

Conclusion

Participants leave with an Integrated Bank Recovery and Resolution Playbook linking governance, triggers, scenarios, options, resolution tools, continuity, data, communications, testing, and remediation. The work product turns policy documents into assigned decision paths and evidence requirements. It supports repeatable challenge, dry runs, and plan maintenance across functions.