Governance for Regulatory Authorities Advanced Training Course

4 – 8 October 2027
Seoul

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Overview

Governance for Regulatory Authorities Advanced Training Course is a one-week course for regulatory authority board members, commissioners, senior regulators, policy leaders, legal counsel, supervision managers, and assurance professionals, who leave with a Regulatory Authority Governance Blueprint. The course addresses unclear mandates, undue influence, fragmented accountability, inconsistent decisions, and weak performance evidence through practical governance structures, risk-based regulatory strategy, decision protocols, engagement mechanisms, and assurance tools. Agile Leaders Training Center presents advanced governance for regulatory authorities.

Who Should Attend

- Governing bodies responsible for mandate stewardship, independence, accountability, and institutional direction
- Executive functions responsible for regulatory strategy, organizational performance, and resource allocation
- Supervision and enforcement functions responsible for risk-based interventions and consistent regulatory action
- Legal and policy functions responsible for rulemaking governance, decision authority, and defensible reasoning
- Risk, compliance, audit, and assurance functions responsible for controls, integrity, and performance evidence
- Stakeholder functions responsible for consultation, transparency, complaints, and inter-agency coordination

The course assumes participants already contribute to regulatory decisions, oversight, policy, supervision, or assurance and leaves out introductory public administration and basic corporate governance.

Departments and Industries

The course supports regulatory governance across:

- Economic regulation, competition, licensing, and market supervision functions
- Financial services policy, prudential supervision, conduct, and enforcement departments
- Energy, utilities, transport, and communications regulatory authorities
- Healthcare, environmental, food, product safety, and professional oversight bodies
- Public-sector legal, policy, data governance, internal audit, and performance teams
- Independent commissions, ombuds functions, inspectorates, and cross-agency coordination units

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Learning Objectives

By the end of this course, participants will be able to:

- Analyze mandate clarity, independence safeguards, and accountability duties
- Build governing-body roles and regulatory decision protocols
- Apply a risk-based regulatory strategy to supervision and enforcement
- Use stakeholder engagement and transparency mechanisms consistently
- Evaluate regulatory performance, integrity controls, and assurance evidence
- Prioritize reforms through a regulator governance maturity assessment

Course Agenda

Day 1: Mandate, Independence, and Accountability

- Regulatory Mandate and Role Clarity Map
- Institutional Independence Safeguards Diagnostic
- Accountability and Reporting Architecture
- Public-Interest Decision Principles Checklist
- Regulator Governance Maturity Assessment

Day 2: Governing Bodies and Decision Quality

- Governing-Body Authority and Delegation Matrix
- Conflict-of-Interest and Recusal Protocol
- Regulatory Decision Rights Framework
- Evidence and Reasoning Decision Record
- Executive Oversight and Escalation Calendar

Day 3: Risk-Based Regulatory Strategy

- Regulated-Population Risk Segmentation Model
- Risk-Based Supervision Planning Method
- Proportionate Intervention Decision Ladder
- Inspection and Enforcement Assurance Map
- Regulatory Impact and Ex-Post Review Cycle

Day 4: Engagement, Integrity, and Performance

- Stakeholder Consultation Governance Protocol
- Transparency and Publication Decision Standard
- Regulatory Data Governance Responsibility Map
- Integrity and Undue-Influence Control Register
- Regulatory Performance Indicator Scorecard

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Day 5: Regulatory Governance Practice

- Exercise: Diagnose Mandate and Independence Gaps
- Exercise: Build a Defensible Regulatory Decision Record
- Exercise: Design a Risk-Based Supervision Plan
- Exercise: Evaluate Performance and Assurance Evidence
- Capstone Exercise: Regulatory Authority Governance Blueprint

Practical Exercises

The course uses suggested activities to connect governance principles with realistic regulatory decisions and institutional evidence:

- Suggested activity: test a statutory mandate against a role clarity map and identify overlapping powers
- Suggested activity: assess an intervention choice using risk segmentation, proportionality, evidence, and escalation criteria
- Suggested activity: review a consultation and publication decision for transparency, confidentiality, and stakeholder fairness
- Suggested activity: combine governance roles, decision controls, supervision priorities, indicators, and assurance actions into a governance blueprint

FAQs

Who does governance for regulatory authorities training suit, and what does it assume?

Governance for regulatory authorities training suits experienced board, executive, policy, supervision, legal, risk, and assurance professionals and assumes they already participate in institutional oversight or regulatory decisions.

How does governance for regulatory authorities differ from corporate governance training?

Governance for regulatory authorities focuses on statutory mandates, independence, public accountability, regulatory decisions, supervision, enforcement, and public-interest outcomes, while corporate governance training generally centres on companies, shareholders, directors, and commercial stewardship.

How can regulatory authorities balance independence and accountability?

Regulatory authorities balance independence and accountability through clear legal mandates, protected decision processes, transparent reasons, defined reporting duties, review mechanisms, integrity controls, stakeholder scrutiny, and performance evidence.

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What makes risk-based regulatory supervision defensible?

Risk-based regulatory supervision is defensible when risk criteria, data sources, prioritization logic, proportional interventions, decision records, review routes, and outcome measures are documented and applied consistently.

How should regulatory performance be evaluated?

Regulatory performance should be evaluated through mandate-linked outcomes, service and process measures, decision quality, compliance effects, stakeholder evidence, resource use, integrity indicators, and periodic independent assurance.

Conclusion

Participants take back a Regulatory Authority Governance Blueprint containing mandate maps, decision rights, independence safeguards, supervision priorities, engagement protocols, performance indicators, and assurance actions. The blueprint changes dispersed governance practices into an integrated operating architecture. It supports more consistent decisions, clearer accountability, proportionate oversight, and evidence-based institutional improvement.