Advanced RegTech Compliance Solutions and Governance Training Course

22 – 26 March 2027
Madrid

AGILE LEADERS
Training Center

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Overview

Advanced RegTech Compliance Solutions and Governance Training Course is a one-week course for compliance leaders, regulatory operations teams, risk technology specialists, financial crime controls professionals, data governance teams, and assurance managers who need a RegTech Solution Governance File. The course connects RegTech compliance solutions, regulatory obligation mapping, automated compliance monitoring, data lineage controls, model validation, workflow integration, and implementation assurance. Participants build a vendor-neutral operating model and measurement plan. Agile Leaders Training Center treats RegTech governance as controlled operational change.

Who Should Attend

- Functions responsible for compliance strategy, obligations, and monitoring
- Teams responsible for regulatory operations and reporting workflows
- Functions responsible for risk technology selection and governance
- Teams responsible for financial crime controls and case management
- Functions responsible for data governance, analytics, and model risk
- Assurance teams responsible for implementation review and control testing

The course assumes participants already operate compliance processes, govern technology or data, test controls, or oversee regulatory change and leaves out introductory compliance and product-specific software administration.

Departments and Industries

The course supports departments and industries that use technology to interpret obligations, monitor controls, manage cases, and produce regulatory evidence.

- Compliance, regulatory affairs, legal operations, and conduct-risk functions
- Risk technology, data governance, analytics, and information-management teams
- Financial services, insurance, payments, and digital finance organizations
- Telecommunications, healthcare, energy, and regulated infrastructure operators
- Internal audit, assurance, consulting, and managed compliance services

Learning Objectives

By the end of this course, participants will be able to:

- Analyze RegTech use cases against obligations and control needs
- Build a governed RegTech operating model and decision rights
- Evaluate data lineage, rule logic, analytics, and model risk
- Apply human oversight and workflow integration controls
- Use assurance tests to diagnose implementation weaknesses
- Prioritize performance measures and benefits realization actions

Course Agenda

Day 1: RegTech Use Cases and Obligations

- RegTech Capability and Compliance Use-Case Map
- Regulatory Obligation and Control Traceability Matrix
- Compliance Process Pain-Point Diagnostic
- Use-Case Value, Risk, and Feasibility Scorecard
- RegTech Solution Requirement and Evidence Register

Day 2: Solution Governance and Operating Model

- RegTech Governance Body and Decision-Rights Model
- Business, Compliance, Data, and Technology RACI Matrix
- Solution Lifecycle and Change-Control Framework
- Third-Party Solution Due-Diligence Checklist
- RegTech Operating Model and Service Interface Canvas

Day 3: Data, Rules, and Analytics Controls

- Compliance Data Lineage and Ownership Map
- Data Quality Rule and Exception-Control Catalogue
- Regulatory Rule Logic Validation Method
- Analytics and Model Risk Assessment Sheet
- Privacy, Access, Retention, and Audit-Trail Control Matrix

Day 4: Workflow Integration and Assurance

- Automated Compliance Monitoring Workflow Design
- Alert, Case, Escalation, and Closure Control Map
- Human Oversight and Decision Override Protocol
- RegTech Implementation Assurance Test Plan
- Performance Measure and Benefits Realization Dashboard

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Day 5: RegTech Governance Practice

- Exercise: Obligation and Use-Case Traceability Review
- Exercise: Operating Model and Decision-Rights Design
- Exercise: Data, Rule, and Model Control Test
- Exercise: Workflow Assurance and Performance Diagnosis
- Capstone Exercise: RegTech Solution Governance File

Practical Exercises

The course uses suggested activities that connect RegTech design choices to compliance evidence, operational control, and accountable decisions.

- Suggested activity: score competing monitoring use cases for a payment-services compliance function.
- Suggested activity: map data lineage and validation controls for an insurance reporting workflow.
- Suggested activity: test human oversight and escalation for an automated financial-crime alert process.
- Suggested activity: assemble an assurance and benefits plan for a regulated infrastructure operator.

FAQs

Who should attend the Advanced RegTech Compliance Solutions and Governance Training Course?

The course suits professionals responsible for compliance operations, risk technology, financial crime controls, data governance, analytics, or assurance. It assumes experience with compliance processes, technology governance, control testing, or regulatory change.

How does RegTech compliance solutions training differ from general compliance training?

RegTech compliance solutions training concentrates on technology use cases, data, rule logic, automated workflows, governance, assurance, and performance, while general compliance training concentrates on obligations, conduct, policies, and manual control responsibilities.

What belongs in a RegTech operating model?

A RegTech operating model connects ownership, decision rights, service interfaces, lifecycle controls, data responsibilities, validation, human oversight, support, change management, assurance, and performance reporting.

How should RegTech model and rule risks be controlled?

RegTech model and rule risks should be controlled through documented logic, approved data, validation tests, version control, thresholds, exception handling, human review, audit trails, monitoring, and periodic challenge.

How are RegTech compliance solution benefits measured?

RegTech compliance solution benefits are measured through evidence of control effectiveness, data quality, reporting timeliness, alert precision, workflow completion, exception resolution, user adoption, assurance findings, and sustainable operating effort.

Conclusion

The course integrates use-case selection, obligation traceability, solution governance, data controls, validation, workflow integration, assurance, and performance measurement. Participants take back a RegTech Solution Governance File containing operating decisions, control evidence, tests, and measures. The file makes technology-enabled compliance easier to govern, challenge, monitor, and improve across its lifecycle.