Corporate Governance Regulation and Control Training Course

12 – 16 July 2027
Frankfurt

AGILE LEADERS
Training Center

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Ref: 1265_193523 **Date:** 12 – 16 July 2027 **Location:** Frankfurt **Fees:** 5700 Euro

Overview

Corporate Governance Regulation and Control Training Course is a one-week course for board members, company secretaries, governance, legal, risk, compliance, internal audit, and control professionals who need a Governance Regulation and Control File. The course connects corporate governance regulation, board control systems, delegated authority, internal control evidence, disclosure, accountability, and assurance. Participants convert regulatory duties into operating controls and remediation decisions. Agile Leaders Training Center frames governance regulation as an evidence-based board responsibility.

Who Should Attend

- Functions responsible for board governance, charters, and decision records
- Teams responsible for legal and regulatory interpretation
- Functions responsible for compliance oversight and regulatory reporting
- Teams responsible for enterprise risk and internal control architecture
- Functions responsible for internal audit and governance assurance
- Professionals responsible for company secretariat and shareholder processes

The course assumes participants already interpret governance requirements, support board decisions, own controls, or test assurance evidence and leaves out introductory company administration.

Departments and Industries

The course supports departments and industries that translate governance requirements into board controls, evidence, and accountable action.

- Board secretariat, governance, legal, and regulatory affairs functions
- Risk, compliance, internal control, and internal audit teams
- Financial services, insurance, and investment organizations
- Energy, telecommunications, healthcare, and infrastructure operators
- Listed companies, family enterprises, and diversified corporate groups

Learning Objectives

By the end of this course, participants will be able to:

- Analyze corporate governance regulation and applicable obligations
- Build board authority and accountability maps
- Apply internal control ownership and evidence criteria
- Evaluate disclosure, conflict, and stakeholder safeguards
- Diagnose governance assurance and reporting weaknesses
- Prioritize remediation through board oversight decisions

Course Agenda

Day 1: Regulatory Architecture and Obligations

- Corporate Governance Regulatory Architecture Map
- G20/OECD Governance Principles Alignment Matrix
- Governance Obligation and Applicability Register
- Shareholder and Stakeholder Rights Control Map
- Governance Requirement Interpretation Method

Day 2: Board Authority and Accountability

- Board Reserved-Matters Decision Matrix
- Delegated Authority and Escalation Map
- Board and Executive Accountability RACI
- Committee Mandate and Reporting Framework
- Conflict-of-Interest Decision Protocol

Day 3: Internal Controls and Evidence

- Governance Control Objective Catalogue
- Control Ownership and Evidence Matrix
- Segregation-of-Functions Review Method
- Policy-to-Control Traceability Framework
- Governance Exception and Breach Register

Day 4: Disclosure, Assurance and Remediation

- Disclosure and Transparency Control Checklist
- Board Information Quality Assessment
- Governance Assurance Testing Plan
- Control Deficiency Root-Cause Method
- Board Remediation Priority Dashboard

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Day 5: Governance Control Practice

- Exercise: Regulatory Obligation Applicability Review
- Exercise: Board Authority and Escalation Design
- Exercise: Governance Control Evidence Test
- Exercise: Assurance Finding and Remediation Decision
- Capstone Exercise: Governance Regulation and Control File

Practical Exercises

The course uses suggested activities that connect governance rules to board decisions, control evidence, and remediation.

- Suggested activity: map reserved matters and delegated authority for a diversified corporate group.
- Suggested activity: test disclosure and conflict controls in a financial services case.
- Suggested activity: diagnose control evidence gaps in a healthcare governance process.
- Suggested activity: present a remediation priority dashboard to a simulated board committee.

FAQs

Who should attend the Corporate Governance Regulation and Control Training Course?

The course suits professionals responsible for boards, governance, legal interpretation, risk, compliance, internal control, company secretariat, or assurance. It assumes experience with governance requirements, board processes, control ownership, or audit evidence.

How does corporate governance regulation training differ from general board governance training?

Corporate governance regulation training concentrates on obligation interpretation, control design, evidence, disclosure, assurance, and remediation, while general board governance training usually concentrates on director roles, meeting effectiveness, and board behavior.

What is a governance obligation and applicability register?

A governance obligation and applicability register records each requirement, its scope, accountable owner, affected process, required control, evidence, reporting route, review frequency, and current implementation status.

How are corporate governance controls tested?

Corporate governance controls are tested by tracing requirements to control design, ownership, operating evidence, exceptions, escalation, board reporting, and remediation, then evaluating whether the evidence supports the intended governance outcome.

What should a board remediation priority dashboard contain?

A board remediation priority dashboard should show the obligation, control weakness, exposure, ownership, required decision, target action, evidence gap, dependency, escalation status, and assurance follow-up.

Conclusion

The course integrates regulatory interpretation, board authority, internal controls, disclosure, assurance, and remediation. Participants take back a Governance Regulation and Control File containing obligation maps, decision rights, control evidence, tests, and prioritized actions. The file turns governance requirements into traceable responsibilities and board-ready decisions.