

# Supplier Audit and Compliance Assurance Training Course

5 – 9 July 2027
Zoom

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**Ref:** 2100_253790 **Date:** 5 – 9 July 2027 **Location:** Zoom **Fees:** 1500 Euro

## Overview

Supplier Audit and Compliance Assurance Training Course is a one-week intermediate course for supplier quality, procurement assurance, compliance, vendor management, and contract-performance teams who leave with a Supplier Audit File. Participants apply risk-based planning, criteria mapping, sampling, interviews, site observation, document review, evidence evaluation, findings, corrective-action verification, and compliance monitoring. The course separates second-party supplier assurance from relationship management, certification audits, and fraud investigation. Agile Leaders Training Center delivers this course in supplier audit and compliance assurance.

## Who Should Attend

- Supplier-quality teams responsible for qualification, audit evidence, and corrective action
- Procurement-assurance teams responsible for supplier controls and compliance monitoring
- Compliance teams responsible for ethical, contractual, and operational requirements
- Vendor-management teams responsible for supplier risk and performance follow-up
- Contract-performance teams responsible for obligations, exceptions, and remediation evidence

The course assumes participants handle supplier requirements, records, performance data, or site reviews and leaves out certification-body audits, legal opinions, criminal investigation, and general supplier relationship management.

## Departments and Industries

The course supports departments that need evidence-based assurance over suppliers in industrial, public, healthcare, and service environments.

- Supplier quality and assurance
- Procurement and vendor management
- Compliance, risk, and governance
- Manufacturing, energy, and construction
- Healthcare, retail, and professional services

## Learning Objectives

By the end of this course, participants will be able to:

- Apply risk-based supplier audit planning.
- Build criteria, evidence, and sampling plans.
- Use interviews, observation, and document review.
- Evaluate findings against supplier requirements.
- Diagnose root causes and corrective actions.
- Build follow-up and compliance-monitoring decisions.

## Course Agenda

### Day 1: Scope the Supplier Audit

- Supplier Risk Segmentation and Audit Priority Matrix
- Second-Party Audit Objective and Scope Statement
- Supplier Requirement and Audit Criteria Map
- ISO 19011:2026 Audit Principles Alignment
- Audit Program, Team, and Resource Plan

### Day 2: Design the Audit Evidence Plan

- Supplier Process and Control Map
- Document Request and Record Trace List
- Audit Population and Sampling Worksheet
- Interview Question and Role Matrix
- Site Observation and Verification Checklist

### Day 3: Conduct and Document the Audit

- Opening Meeting and Audit Communication Plan
- Evidence Triangulation and Reliability Grid
- Quality, Contractual, Operational, and Ethical Test Sheet
- Audit Trail and Working-Paper Index
- Exception Validation and Closing Meeting Record

### Day 4: Report and Verify Corrective Action

- Finding Criteria, Condition, Cause, and Consequence Model
- Finding Significance and Priority Matrix
- Corrective and Preventive Action Review Sheet
- Root-Cause and Remediation Adequacy Test
- Closure Evidence and Residual-Risk Register

## Day 5: Practice Supplier Audit Decisions

- Suggested Exercise: Scope a Risk-Based Supplier Audit
- Suggested Exercise: Test a Supplier Evidence Sample
- Suggested Exercise: Draft and Prioritize Audit Findings
- Suggested Exercise: Verify Corrective-Action Closure
- Capstone Exercise: Complete a Supplier Audit File

## Practical Exercises

The course uses suggested activities with fictional supplier profiles, requirements, records, interview notes, site observations, findings, and corrective-action evidence.

- Suggested activity: prioritize supplier audits for an industrial supply base.
- Suggested activity: trace quality and contract evidence for a healthcare supplier.
- Suggested activity: test ethical and operational controls for a service provider.
- Suggested activity: evaluate a corrective-action response and closure evidence.

## FAQs

### Who suits supplier audit and compliance training, and what does it assume?

Supplier quality, procurement assurance, compliance, vendor management, and contract-performance teams suit supplier audit and compliance training. The course assumes experience handling supplier requirements, records, performance evidence, or review activities.

### How does supplier auditing differ from supplier relationship management training?

Supplier auditing evaluates evidence against defined requirements and records findings and follow-up, while supplier relationship management training focuses on segmentation, collaboration, performance dialogue, development, and commercial relationships.

### How should a supplier audit sample be selected?

A supplier audit sample should reflect the audit objective, requirements, supplier risk, process volume, control frequency, history, and available records. Auditors document the population, selection method, rationale, procedures, exceptions, and limits.

### What evidence supports a supplier compliance finding?

A supplier compliance finding requires relevant, reliable, and sufficient evidence linked to a defined criterion and observed condition. Auditors corroborate interviews, records, observation, and system data before stating cause, consequence, and significance.

## How is supplier corrective-action closure verified?

Supplier corrective-action closure is verified through evidence that addresses root cause, implements the agreed action, and reduces the identified risk. Follow-up may use document review, data testing, interviews, or site verification according to significance.

## Conclusion

Participants take back a Supplier Audit File. It combines a risk matrix, scope, criteria map, sampling plan, interview guide, observation checklist, evidence index, findings, corrective-action review, closure tests, and residual-risk register. The file helps teams connect supplier requirements to documented assurance decisions and accountable remediation.