



Corporate Governance in the Banking Sector: From Theory To Practice

11 – 15 January 2027
San Diego



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Corporate Governance in the Banking Sector: From Theory To Practice Overview:

This comprehensive course on "Corporate Governance in the Banking Sector: From Theory To Practice" delves into the intricate world of corporate governance, ESG fundamentals, and their critical role within the banking industry. Participants will explore the complexities of comply-or-explain corporate governance, understand diverse corporate governance theories including agency theory, shareholder vs stakeholder theory, stewardship theory, resource dependency theory RDT, and the resource-based view RBV. Through an in-depth analysis of ESG indicators, the impact of corporate governance on stock markets, and ESG methodology, this course equips professionals with the knowledge to enhance board effectiveness and implement ESG strategies effectively. Key topics such as CEO decision-making biases, behavioral finance, crisis management, and lessons learned from banking failures are also covered. With a focus on real-world application, participants will engage with corporate governance case studies, including an analysis of Lehman Brothers and other notable banking crises, to develop a robust understanding of corporate governance best practices in banking.

Target Audience:

- Banking Executives
- Compliance Officers
- Risk Management Professionals
- Board Members
- ESG Analysts

Targeted Organizational Departments:

- Corporate Governance
- Risk Management
- Compliance
- Strategic Planning
- Corporate Social Responsibility CSR

Targeted Industries:

- Banking
- Financial Services
- Investment Banking
- Retail Banking
- Corporate Banking

Course Offerings:

By the end of the course participants will be able to:

- Mastery of corporate governance and ESG fundamentals in banking.
- Understanding of the comply-or-explain mechanism and its application.
- Insights into corporate governance theories and their practical implications.
- Skills to evaluate and implement ESG indicators and methodologies.
- Strategies for effective crisis management and learning from banking failures.

Training Methodology:

This course employs a dynamic mix of training methodologies to foster an engaging learning environment. Through a blend of case studies focusing on corporate governance failures and successes, interactive sessions that delve into ESG methodology and indicators, and group work that explores behavioral finance in corporate governance, participants will gain practical insights and actionable knowledge. Reflective sessions and feedback mechanisms are integral, encouraging participants to consider CEO decision-making biases and the effectiveness of board governance in real-time scenarios.

Course Toolbox:

- Comprehensive Workbooks
- Access to Online Resources and Webinars
- Corporate Governance Compliance Checklists
- Case Study Collections on Banking Failures and Successes

Course Agenda:

Day 1: Introduction to Corporate Governance and ESG Fundamentals

- **Topic 1:** Overview of Corporate Governance in the Banking Sector
- **Topic 2:** Challenges in Regulating Corporate Governance
- **Topic 3:** The "Comply-or-Explain" Mechanism Explained
- **Topic 4:** The Impact of Ownership Structure on Corporate Governance
- **Topic 5:** Introduction to Corporate Governance Theories: Agency, Shareholder, and Stakeholder
- **Reflection & Review:** Discussing the Multifaceted Nature of Corporate Governance

Day 2: Corporate Governance Theories and ESG Methodology

- **Topic 1:** In-depth Analysis of Stewardship Theory
- **Topic 2:** Resource Dependency Theory RDT and its Relevance
- **Topic 3:** Exploring the Resource-Based View RBV of the Firm
- **Topic 4:** Understanding ESG Indicators in the Banking Sector
- **Topic 5:** The Relationship Between Corporate Governance and Stock Market Performance
- **Reflection & Review:** Reviewing the Integration of Corporate Governance Theories and ESG Indicators

Day 3: ESG Application and Behavioral Finance

- **Topic 1:** Board Effectiveness and the Implementation of ESG
- **Topic 2:** Internal Utilization of ESG by Banks
- **Topic 3:** Behavioral Finance Principles in Corporate Governance
- **Topic 4:** CEO Biases and Their Impact on Decision-making
- **Topic 5:** Engaging Stakeholders in the Corporate Governance Process
- **Reflection & Review:** Assessing the Impact of Behavioral Finance on Corporate Governance

Day 4: Case Studies and Real Banking Failures

- **Topic 1:** Analyzing Lehman Brothers' Corporate Governance Failure
- **Topic 2:** The BCCI Scandal: A Study in Corporate Governance Breakdown
- **Topic 3:** Behavioral Economics and Its Role in Banking Supervision
- **Topic 4:** The Spectacular Defaults and Their Lessons for Corporate Governance
- **Topic 5:** Case Study Analysis: Societe Generale and UBS Scandals
- **Reflection & Review:** Learning from Real-World Corporate Governance Failures



Day 5: Crisis Management and Lessons Learned

- **Topic 1:** Crisis Management Strategies in the Banking Sector
- **Topic 2:** Error Management and Inspection Types for Preventing Failures
- **Topic 3:** The Importance of Timing in Bank Resolution
- **Topic 4:** Key Lessons Learned from Banking Failures: SG and UBS Cases
- **Topic 5:** Developing a Proactive Corporate Governance Framework
- **Reflection & Review:** Summarizing Crisis Management and Corporate Governance Lessons

How This Course is Different from Other Corporate Governance in the Banking Sector Courses:

Unlike other courses, "Corporate Governance in the Banking Sector: From Theory To Practice" offers a unique blend of theoretical foundations and practical applications, tailored specifically for the banking industry. It goes beyond traditional corporate governance training, incorporating ESG fundamentals, advanced compliance strategies, and the latest in digital transformation trends. Participants will benefit from real-world case studies, interactive training methodologies, and a comprehensive toolbox of resources. This course not only addresses current regulatory and market challenges but also prepares banking professionals for future governance and sustainability opportunities.